

07/24/03  
17364 U.S. PTO

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of: Alexander Lipton et al.

Title: SYSTEMS AND METHODS FOR MODELING SECURITY RISKS OF PUBLICLY TRADED COMPANIES

Attorney Docket No.: 1183UB00US00

08306 U.S. PTO  
10/626077  
07/24/03

**PATENT APPLICATION TRANSMITTAL**

**MAIL STOP PATENT APPLICATION**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

We are transmitting herewith the following attached items and information (as indicated with an "X"):

- ☒ Return postcard.
- ☒ Utility Patent Application under 37 CFR 1.53(b) comprising:
  - ☒ Specification (33 pgs, including claims numbered 1 through 44 and a 1 page Abstract).
  - ☒ Formal Drawing(s) (2 sheets).
- ☒ Credit Card Payment Form (1 pg.) to cover \$1770.00 to pay the filing fee and \$40.00 to pay the Assignment recording fee.
- ☒ Assignment of the invention to Credit Suisse First Boston LLC (4 pgs) and Recordation Form Cover Sheet.
- ☒ Signed Declaration (3 pgs.)
- ☒ Signed Power of Attorney documents (3 pgs.)
- ☒ Information Disclosure Statement (1 pgs), Form 1449 (1 pgs) and copies of cited references (7).

The filing fee has been calculated below as follows:

	No. Filed	No. Extra	Rate	Fee
TOTAL CLAIMS	44-20	24	x 18.00 =	\$432.00
INDEPENDENT CLAIMS	10-3	7	x 84.00 =	\$588.00
[ ] MULTIPLE DEPENDENT CLAIMS PRESENTED				\$0.00
BASIC FEE				\$750.00
TOTAL				\$1770.00

Axiom Legal Solutions c/o PortfolioIP

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By: 

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Date of Deposit: July 24, 2003

This paper or fee is being deposited on the date indicated above with the United States Postal Service pursuant to 37 CFR 1.10, and is addressed to The Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.

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